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PTO/SB/30 (05-03)

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## REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

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<i>RECEIVED TECHNICAL CENTER SEP 15 2003</i>	<i>1</i>
Application Number	09/712,612
Filing Date	November 13, 2000
First Named Inventor	Hua
Art Unit	1614
Examiner Name	Z. Fay
Attorney Docket Number	90-99

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR 1.114**

Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such

- a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

- i.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii.  Other \_\_\_\_\_

- b.  Enclosed

- i.  Amendment/Reply      iii.  Information Disclosure Statement (IDS)  
ii.  Affidavit(s)/Declaration(s)      iv.  Other Exhibit A

**2. Miscellaneous**

- a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 07-1969

- i.  RCE fee required under 37 CFR 1.17(e)      09/24/2003 MBIZUNES 00000095 09712612  
ii.  Extension of time fee (37 CFR 1.136 and 1.17)      02 FC:2801      375.00  
iii.  Other Any additional fees required

- b.  Check in the amount of \$ 840.00 enclosed  
c.  Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print / Type)	Susan K. Doughty	Registration No. (Attorney / Agent)	43,595
Signature	<u>Susan K. Doughty</u>	Date	September 17, 2003

**CERTIFICATE OF MAILING OR TRANSMISSION**

express

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Name (Print / Type)	Cathy Nelson	Date	9/17/03
Signature	<u>Cathy Nelson</u>	Date	9/17/03

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/712,612 Confirmation No. 9612  
Applicant : Hua  
Filed : November 13, 2000  
TC/A.U. : 1614  
Examiner : Fay, Z.  
For : Methods of Treating Cataracts and  
Diabetic Retinopathy with Tricyclic Pyrones  
Docket No. : 90-99  
Customer No. : 23713

Commissioner for Patents  
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*Cathy Nelson*  
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**SUBMISSION UNDER 37 C.F.R. 1.114  
AND INTERVIEW SUMMARY**

Sir:

This submission accompanies a Request for Continued Examination under 37 C.F.R. 1.114. This submission was originally made in response to the final Office Action mailed March 18, 2003.

Please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begin on page 2 of this paper.

**Remarks/Arguments** begin on page 17 of this paper.